

SERVICES PROTOCOL

RISK ASSESSMENT MENTAL HEALTH & ADDICTION SERVICES

STANDARD

It is the Bay of Plenty District Health Board (BOPDHB) Mental Health & Addiction Service's (MH&AS) policy intent that that all clients receiving care will have a formal Risk Assessment completed and an individualised Risk Management Plan identified within the Treatment Plan.

OBJECTIVE

- To provide clear safe guidelines to assist mental health clinicians to better assess and manage Clinical Risk in MH&AS.
- To minimise the likelihood of an adverse outcome.
- To ensure effective monitoring systems to detect early warning signs and ready access to services if need be.
- To meet the New Zealand Health & Disability Services Standards.
- To ensure compliance with Occupational Health and Safety requirements.

STANDARDS TO BE MET

1. Risk Assessment

- 1.1. All clients of the MH&AS will have a Risk Assessment completed, based on accurate information, using the risk assessment form and guidelines, as part of their comprehensive assessment (see Assessment Protocol MHAS.A1.23).
- 1.2. Those individuals entering the service in crisis or acutely will have their risk assessed immediately including the risks evident for substance impaired/intoxicated individuals. Full risk assessment will be completed within four (4) hours by those people involved in the comprehensive assessment.
- 1.3. A full Risk Assessment not able to be completed with the clients informed consent due to the consumers level of substance induced intoxication, will be undertaken at the first practicable opportunity once the level of substance impairment has adequately reduced
- 1.4. Completion of risk assessment for non-acute individuals is the responsibility of the multi-disciplinary team (MDT) and will be carried out by the most appropriate team member, e.g. Nurse, Social Worker, Occupational Therapist (OT), Psychologist or Medical Officer.
- 1.5. The Risk Assessment will be based on factual information, informed opinion, clinical assessment and thorough collection of accurate information covering all aspects of the illness, background, behaviour and individual circumstances.
- 1.6. Risk Assessments will be easily and quickly accessible at the front of the consumer's health record and made available on entry to all parts of the service. The risk assessment will follow the client through the service.
- 1.7. The risk assessment can be updated at any time. Amendments should be documented on the form and in the consumer's health record.
- 1.8. Risk assessments will be reviewed at defined intervals by members of the MDT and the findings documented in the consumer's health record and on the form. This should take place no less than every three (3) months.

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- 1.9. Clients will be aware of their rights and these will be observed wherever possible.
- 1.10. The client, family / whanau and others nominated by the client will be consulted in the risk assessment; if this does not occur then the reasons why will be documented.

2. Risk Management

- 2.1 All clients will have a treatment plan (includes risk management) that is informed by data gathered in the risk assessment and comprehensive assessment. The aim of this is to prevent escalation of challenging or 'risky' behaviour / situations (e.g. children at risk) by prevention, minimisation and management of risk.
- 2.2 The management of risk will be part of the individual's treatment plan, and the designated nurse / case manager or other clinician will implement the plan as soon as it is practical to do so.
- 2.3 The management of risk should address:
 - a) Immediate risks
 - b) Identify ongoing management
 - c) Future preventative actions.
 - d) Challenging behaviour and strategies to deal with this
 - e) The context, opportunity, means and motivation of the individual
- 2.4 The client and nominated whanau / support will be consulted in the planning of risk management and consulted regarding ongoing care and review.
- 2.5 Risk Assessments and treatment plans must be readily available to other teams / individuals involved in the clients care to ensure appropriate care and minimisation of risk.
- 2.6 The risk assessment will be formally reviewed at defined intervals, as part of an ongoing review of the individual risk assessment / treatment plan. The treatment plan will be revised accordingly and new outcomes identified.
- 2.7 The risk assessment can be updated at any time and changes noted in the consumer's health record and on the treatment plan. Entries to the treatment plan must be dated and signed.

3. Cumulative History of Risk

3.1 Will be commenced at the time of initial comprehensive assessment and risk assessment and will be updated with any new hazardous behaviour identified, or prior to new risk assessment form being commenced i.e. after four (4) review periods if any further additional information.

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PROCEDURE

FLOW CHARTRISK ASSESSMENT			
PROCESS	TASKS/STANDARDS	WHO	
	 Within 4 hours of entry Information gathered from client, whanau, etc Complete appropriate form Re-Assess at first practicable opportunity if original Risk Assessment compromised by consumers level of intoxication 	HEALTH CARE PROFESSIONAL	
EXISTING	 Information gathered in conjuction with multidisciplinary team, client and whanau Complete appropriate form 	CASE MANAGER	
FORMULATION OF RISK MANAGEMENT PLAN	 Summarise assessment data Identify risk factors Identify early warning signs and potential strategies for ongoing management and reduction of risk 	REGISTERED NURSE OR CASE MANAGER	
IMPLEMENTATION	Implement Risk Management Plan	REGISTERED NURSE OR CASE MANAGER	
REVIEW	Review of plan and assessment at defined intervals os outlined in policy standards	REGISTERED NURSE OR CASE MANAGER	
UPDATE	Update documentation of forms as requiredan ongoing process	REGISTERED NURSE OR CASE MANAGER	

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REFERENCES

- Health and Disability Services Standard NZS 8134:2008
- Guidelines for Reducing Violence in Mental Health Services, Ministry of Health, 1994
- Health and Disability Services (Restraint Minimisation and Safe Practice) Standards NZS 8134.2:2008
- Ministry of Health-Guidelines for Clinical Risk Assessment and Management in Mental Health Services, 1998
- Clinical Risk Management Framework, Mental Health Services, July 2003
- Assessment & Management of Risk To Others: Guidelines & Development of Training Toolkit. Mental Health Workforce Development Programme 2006

ASSOCIATED DOCUMENTS

- Bay of Plenty District Health Board Mental Health & Addiction Services protocol <u>MHAS.A1.23 Assessment</u>
- Bay of Plenty District Health Board Mental Health & Addiction Services protocol MHAS.A1.49 Treatment Plan
- Bay of Plenty District Health Board Comprehensive Assessment Form
- Bay of Plenty District Health Board Risk Assessment Form
- Bay of Plenty District Health Board Treatment Plan

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