Type: Policy

Name: Risk Management

Purpose

The purpose of this policy is
1. to articulate the District Health Board's (DHB's) commitment and accountabilities for implementation of the DHB wide risk management approach.
2. to replace Risk Management Policy 1.8772 and align to the ISO 31000:2018 International Standard.

Background

The DHB acknowledges that the services it provides and the way it provides these services, carries with it unavoidable and inherent risk. The identification and recognition of these risks together with the proactive management, mitigation and (where possible) elimination of these risks is essential for the efficient and effective delivery of safe and high quality services.

A 2DHB Risk Management Approach was developed in February 2020. A 2DHB Senior Risk Advisor position was recruited to guide the implementation of the Approach. A 2DHB Executive Leadership Team Strategic Risk Group was formed in 2020 to oversee analysis and escalation of strategic risk.

Scope

This policy applies to all DHB employees (permanent, temporary and casual), visiting medical officers, and other partners in care, contractors and consultants.

Policy Statement

The DHB recognises that risk management is an integral element of governance and management practice.

The DHB is committed to implement the 2DHB Risk Management Approach 2020, a system that articulates accountabilities to report, evaluate, mitigate and escalate risk and define risk appetite at all levels.

Prerequisites

- Risk management training is provided for staff according to their responsibilities.
- Risk management tools are evidence based and are current.

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Roles and responsibilities

Board	• Receive strategic risk summary report • Define risk appetite and recommend action.
Finance, Risk & Audit Committee (FRAC)	• Receive strategic risk summary report. • Provide independent assurance to the Board on risk
Executive Leadership Teams	• Manage risks that have the potential to impact strategic or operational objectives.
2DHB ELT Strategic Risk Group	• Define organisational strategic risk • Maintain oversight of strategic risk and management to ELT.
Directorates / Group Services	• Manage Directorate-level risks
Professional Leads / Clinical Leads / Clinical Council / Health & Safety Committees / ICT Governance groups	• Identify, report and monitor relevant risks. • Support the implementation of mitigations
Senior Risk Advisor	• Implement the Approach. • Develop and provide risk management training. • Manage risk systems and risk management tools. • Support leaders to manage risk
Quality Managers / Quality Advisors / Health & Safety Advisors	• Provide support in the management of risks
All staff (clinical and non-clinical)	• Participate in risk identification, reporting and management.

References

ISO 31000:2019 International Standard – Risk Management Guidelines

Related DHB documents

Risk Management Approach 2020
Health & Safety Policy
2DHB Quality & Safety Framework 2020

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